FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Andrews Kirkland B					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]										k all app Direc	ship of Reportin applicable) irector officer (give title		rson(s) to Is 10% O Other (	wner	
(Last) 804 CAF	,	(First) (Middle) GIE CENTER					3. Date of Earliest Transaction (Month/Day/Year) 01/02/2021								below) below)  Exec VP & Chief Financial O			`		
(Street) PRINCE (City)	PRINCETON NJ 08540					4. If Amendment, Date of Original Filed (Month/Day/Year) 01/05/2021								i. Indiv .ine) X	·					
1. Title of Security (Instr. 3) 2. T Dat				2. Transac	ansaction 2 Enth/Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired Disposed Of (D) (Instr. 5)		ed (A)	A) or 5. Am , 4 and Secui Benet Owne		ount of 6 ities F icially (I d Following (I		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) or (D)	Pric	e	Reporte Transa (Instr. 3	ction(s)			(Instr. 4)	
Common Stock, par value \$.01 per share				01/02/2021					F		1,418	D	\$(	300		6,974		D		
Common Stock, par value \$.01 per share				01/02/2021					F		1,437	D		<b>)</b> (2)	305,537			D		
Common Stock, par value \$.01 per share				01/02/2021					F		2,029	D		303,50		3,508		D		
Common Stock, par value \$.01 per share				01/02/	/2021				F		15,072	D \$		<b>)</b> (4)	288,436		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed on Date, Day/Year)	4. Transa Code ( 8)	action of I			6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		Der Sec (Ins	Price of erivative ecurity 1str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code V		(A)	(D)			Expiration Date	N C	Amount or lumber of Shares							

## **Explanation of Responses:**

- 1. This Form 4/A is filed to correct the number of shares withheld to cover for taxes as described in this and the footnotes below. On January 2, 2019, the Reporting Person was issued 11,962 Restricted Stock Units ("RSUs") by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long Term Incentive Plan. Each RSU is equivalentin value to one share of NRG's common stock, par value \$.01. On January 2, 2021 3,983 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of common stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 1,418 shares of common stock to satisfy the grantee's tax withholding obligation.
- 2. On January 2, 2020, the Reporting Person was issued 12,102 Restricted Stock Units ("RSUs") by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long Term Incentive Plan. Each RSU is equivalent in value to one share of NRG's common stock, par value \$.01. On January 2, 2021 4,029 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of common stock for RSUs having avalue on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 1,437 shares of common stock to satisfy the grantee's tax withholding obligation.
- 3. On January 2, 2018, the Reporting Person was issued 15,427 Restricted Stock Units ("RSUs") by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long Term Incentive Plan. Each RSU is equivalentin value to one share of NRG's common stock, par value \$.01. On January 2, 2021 5,153 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of common stock for RSUs having avalue on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 2,029 shares of common stock to satisfy the grantee's tax withholding obligation.
- 4. The Reporting Person elected to satisfy their tax withholding obligation upon the exchange of common stock for RPSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 15,072 shares of common stock to satisfy the grantee's tax withholding obligation

Christine Zoino, by Power of **Attorney** 

\*\* Signature of Reporting Person

02/03/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.