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FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, [	D.C. 20549
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Check this box if no longer subject					
to Section 16. Form 4 or Form 5					
obligations may continue. See					
Instruction 1(b).					

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Gutierrez Mauricio					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)					
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(Last) (First) (Middle)						Date of Earliest Transaction (Month/Day/Year)								1	X Office below	er (give title w)		Other (s	specify
804 CARNEGIE CENTER				09/03/2022									President & CEO						
					4. If A	Amend	lment,	Date o	f Origina	I Filed	d (Month/Da	y/Year	·)	6. 1	ndividual o	Joint/Grou	p Filin	ng (Check A	pplicable
(Street) PRINCE	TON N	T (	08540									•		Line	,	£11 b O	. D	ti D	
PRINCE	TON IN	J	18340												X Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(5	itate) (	Zip)												Perso		ie liia	iii Olie Kepi	ording
		Table	I - Nor	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	3ene	eficia	illy Own	ed			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da					Execution D			3. Transa Code ( 8)					Benefic	ies cially Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) (D)	(A) or (D)		Transa	ransaction(s) Instr. 3 and 4)			(
Common Stock, par value \$.01 per share			09/03/	/2022				F		2,021	]	D	<b>\$0</b> <sup>(1</sup>	) 1,09	1,095,450(2)		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any			4. Transaction Code (Instr. 8)		of Deriv Secu Acqu (A) o Dispo of (D (Instr	of Expiration (Month/E) Securities Acquired A) or Disposed			Exercisable and tion Date I/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Owner Form: Direct or Ind (I) (Ins	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code		(A)	(D)	Date Exercisable		Expiration Date	Title	or Nun of						

## **Explanation of Responses:**

- 1. On September 3, 2021, the Reporting Person was issued a supplemental grant of 14,881 Restricted Stock Units ("RSUs") by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long Term Incentive Plan. Each RSU is equivalent in value to one share of NRG's common stock, par value \$.01. On September 3, 2022, 4,955 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of common stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 2,021 shares of common stock to satisfy the grantee's tax withholding obligation.
- 2. In connection with the vesting of the RSUs described above, 180 DERs vested, resulting in the Reporting Person holding 5,794 dividend equivalent rights in the aggregate. Dividend equivalent rights accrue on the Reporting Person's restricted stock units or relative performance stock units, which become exercisable proportionately with the restricted stock units or relative performance stock units

Christine Zoino, by Power of <u>Attorney</u>

09/07/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.