## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number: 3235-0								
Estimated average burden								
hours per response:	0.5							

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Carrillo Antonio</u>						2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]											licable)	ng Perso	Person(s) to Issuer  10% Owner	
	(First) (Middle) ENERGY, INC.						3. Date of Earliest Transaction (Month/Day/Year) 10/15/2019										Officer (give title pelow)		Other (specify below)	
804 CARNEGIE CENTER  (Street)  PRINCETON NJ 08540					4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting						
(City)	(S	tate) (	Zip)													Pers		ic than c	энс гер	orung
		Tabl	e I - Noi	า-Deriv	ative	Se	curitie	s Acc	uired,	Dis	posed o	f, o	r Bene	eficia	ally (	Owne	ed			
1. Title of Security (Instr. 3)  2. Transa Date (Month/D					Exec Day/Year) if an		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (	Transaction Dispos Code (Instr. 5)		ities Acquired (A) d Of (D) (Instr. 3,				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
										v	Amount		(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				(1130.4)
Common Stock, par value, \$.01 per share 10/				10/15	5/2019				A		4,241(1)		A	(2	2)	4,241		I	)	
		Та									sed of, onvertib				y Ov	vned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transaction Code (Instr. 8)		ı of		6. Date E. Expiratio (Month/D	е	and 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)				vative urity tr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	,	(A)		Date Exercisal		Expiration Date	Title	of	nber						

## **Explanation of Responses:**

- 1. Represents 4,241 Deferred Stock Units issued to the Reporting Person by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long-Term Incentive Plan.
- 2. Each Deferred Stock Unit is equivalent in value to one share of NRG Energy, Inc.'s Common Stock, par value \$.01 per share. The Reporting Person will receive from NRG Energy, Inc. one such share of Common Stock for each Deferred Stock Unit he owns upon termination of his service on NRG Energy, Inc.'s Board of Directors.

/s/ Christine Zoino, by Power of Attorney

10/17/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.