## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

| STATEMENT | OF CHANGE | S IN BENEFICIAL | OWNERSHIP |
|-----------|-----------|-----------------|-----------|

| OMB APPRO              | DVAL                                  |
|------------------------|---------------------------------------|
| OMB Number:            | 3235-0287                             |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Schaumburg Anne C |  |         |      |      |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ] |   |                              |                   |                                      |   |   |    |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |   |                                       |  |  |   |
|---|--|---------|------|------|--|---|---|------------------------------|-------------------|--------------------------------------|---|---|----|--|---|---|---------------------------------------|--|--|---|
|   |  |         |      |      | .  |   |   |                              |                   |                                      |   |   |    |  | X   | Direc   |                                       |  | 10% O  |   |
| (Last) (First) (Middle) NRG ENERGY, INC.                    |  |         |      |      |  | 3. Date of Earliest Transaction (Month/Day/Year) 11/01/2018                 |   |                              |                   |                                      |   |   |    |  |   |   | Officer (give title<br>below)         |  | Other (specify below)  |   |
| 804 CARNEGIE CENTER   |  |         |      |      | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |   |                              |                   |                                      |   |   |    | Individual or Joint/Group Filing (Check Applicable Line) |   |   |                                       |  |  |   |
| (Street) PRINCE   | TON N  | J (     | 8540 |      |  |   |   |                              |                   |                                      |   |   |    |  | X   |   | n filed by One<br>n filed by Mo<br>on |  | •  |   |
| (City)  | (SI  | ate) (. | Zip) |      |  |   |   |                              |                   |                                      |   |   |    |  |   |   |                                       |  |  |   |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |         |      |      |  |   |   |                              |                   |                                      |   |   |    |  |   |   |                                       |  |  |   |
| Dat   |  |         |      | Date | Date<br>Month/Day/Year)                                  |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |                              | Code (            | Transaction Disposed Code (Instr. 5) |   | ities Acquired (A)<br>d Of (D) (Instr. 3, |    |  | 4 and Secu  |   | rities<br>ficially<br>ed Following (  |  | wnership<br>n: Direct<br>or Indirect<br>nstr. 4)                   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |         |      |      |  |   |   | Code                         | v                 | Amount                               | (   | (A) or<br>(D)                             |    | e  | Transaction(s)<br>(Instr. 3 and 4)                                      |   |                                       |  | (11341.4)  |   |
| Common Stock, par value, \$.01 per share                    |  |         |      |      | 11/01/2018   |   |   |                              | A                 |                                      | 28  | A   |    | (1   | (1) 67  |   | <b>7,398</b> <sup>(2)</sup>           |  | D  |   |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |      |      |  |   |   |                              |                   |                                      |   |   |    |  |   |   |                                       |  |  |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | ive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any  |         |      |      | ransaction of Der Sec (A) Dis of (I                      |   | osed<br>. 3, 4  | 6. Date Expiration (Month/Da | n Date            |                                      | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |   |    | Deri   | ivative<br>urity<br>tr. 5)  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | F<br>C                                | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|   |  |         |      |      | Code   |   | (A)   | (D)                          | Date<br>Exercisal |                                      | Expiration<br>Date  | Title                                     | or | nber   |   |   |                                       |  |  |   |

## **Explanation of Responses:**

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

2. Includes 1,437 dividend equivalent rights.

/s/ Christine Zoino, by Power of Attorney

11/05/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

#### POWER OF ATTORNEY

KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints each of Brian Curci and Christine Zoino, signing singly, the undersigned's true and lawful attorney-in-fact to: (i) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director and/or owner of greater than 10% of the outstanding Common Stock of NRG Yield, Inc., a Delaware corporation (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; (ii) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority, including the New York Stock Exchange; and (iii) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. Each of the undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by each of the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned have caused this Power of Attorney to be executed as of date first written above.

### Anne C. Schaumburg

/s/ Anne C. Schaumburg

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