FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C. 20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Killinger Elizabeth R						2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]									k all app Direc	,	ng Pe	rson(s) to Is  10% O  Other (	wner
						3. Date of Earliest Transaction (Month/Day/Year) 01/02/2020										below)  Exec V		below)	
(Street) PRINCETON NJ 08540 (City) (State) (Zip)						4. If Amendment, Date of Original Filed (Month/Day/Year) 01/06/2020								Line)	Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person				
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	Benef	icially	y Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day				Executive () (Executive () () () () () () () () () () () () ()		Deemed ecution Date, ny onth/Day/Year)				es Acquired (A Of (D) (Instr. 3,		4 and Secu Bene		mount of irities eficially ed Following		wnership n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D) Pr		ice	Transa	Transaction(s) (Instr. 3 and 4)			
Common Stock, par value \$.01 per share 01/02/2				2020				M		4,500	A \$3		39.49	9 133,324 <sup>(1)</sup>			D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
Derivative Security (Instr. 3)	ative Conversion Date Execution Date ity or Exercise (Month/Day/Year) if any		ion Date,	4. Transaction Code (Instr. 8)		of Deriv	r osed ) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y		ite	Amount of Securities Underlying Derivative Security (Ins 3 and 4)  Amount of Manual Provided		De Se (In	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## **Explanation of Responses:**

1. This Form 4/A is being filed to report the acquisition of shares in connection with the exercise and sale of a stock that was reported on the original Form 4. The number of shares disclosed as beneficially owned following the reported transaction is as of the end of the day on which the transaction occurred.

/s/ Christine Zoino, by Power of Attorney

02/03/2021

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.