## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

4. If Amendment, Date of Original Filed (Month/Day/Year)

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP		OMB Number: 3235-02 Estimated average burden	
	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1 or Section 30(h) of the Investment Company Act of 1940	hours per response: 0		
1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of I (Check all applicat	Reporting Person(s) to Issuer	
Gaudette Robert J	NRG ENERGY, INC. [ NRG ]	Director	10% Owner	
	3. Date of Earliest Transaction (Month/Day/Year)	Officer (gi		
(Last) (First) (N	(iddle) $08/01/2024$	Delow)	below)	
804 CARNEGIE CENTER		Exec	Exec VP, NRG Business	

(Street) PRINCETON	NJ	08540
(City)	(State)	(Zip)

Line) 1 Form filed by One Reporting Person Form filed by More than One Reporting Person Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 2A. Deemed Execution Date. 1. Title of Security (Instr. 3) 2. Transaction Date 5. Amount of Securities 6. Ownership Form: Direct 7. Nature 3. Transaction of Indirect (Month/Day/Year) if any (Month/Day/Year) 5) Beneficially Owned Following (D) or Indirect Beneficial Code (Instr. 8) (I) (Instr. 4) Ownership Reported (Instr. 4) Transaction(s) (A) or (D) Code v Price Amount (Instr. 3 and 4) Common Stock, par value \$.01 per share 08/01/2024 Α 176 **\$0**<sup>(1)</sup> 100,844 D A Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and 9. Number of 11. Nature 1. Title of 3. Transaction 3A. Deemed 5. Number 8. Price of 10 Expiration Date (Month/Day/Year) Derivative Conversion Date Execution Date Transaction Amount of Derivative derivative Ownership of Indirect or Exercise Price of Derivative Security Security (Instr. 3) (Month/Day/Year) Derivative Securities Form: Direct (D) Code (Instr. Security Securities Beneficial if any (Month/Dav/Year) Underlying Beneficially 8) Securities (Instr. 5) Ownership Acquired (A) or Disposed Derivative Security (Instr. 3 and 4) Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Reported of (D) Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount Number Date Expiration of v (A) (D) Exercisable Date Title Shares Code

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock. Includes 1,412 dividend equivalent rights

> Christine Zoino, by Power of 08/05/2024 Attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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6. Individual or Joint/Group Filing (Check Applicable