SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

-	-						
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Carrillo Antonio					2. Issuer Name and Ticker or Trading Symbol <u>NRG ENERGY, INC.</u> [NRG]						ationship of Reporti k all applicable) Director	ng Person(s) to 10% (
(Last) 804 CARNEGII	(First) E CENTER	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 11/01/2022							Officer (give title below)	Other below	(specify)
(Street) PRINCETON (City)	NJ (State)	08540 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indi Line) X	lividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person		
	т	able I - Nor	n-Derivat	tive S	ecurities Acqu	uired,	Disp	osed of, o	or Ben	eficially	v Owned		
Date			2. Transact Date (Month/Day	Execution Date,		3. Transa Code (8)	Instr.	r. 5)		r. 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
						Code	V	Amount	(D)	Price	(Instr. 3 and 4)		

Common	Stock, par	value \$.01 per sl	hare 11/01	2022				A	37	1	A \$0	18	,446 ⁽²⁾	D	
		Tal	ble II - Derivat (e.g., pı					iired, Disp options, d				-	d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)			vative nities nired r osed) r. 3, 4	 6. Date Exercisable and Expiration Date (Month/Day/Year) 		Expiration Date Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

2. Reflects a de minimus adjustment of 5 to securities beneficially owned following reported transaction as a result of rounding fractional shares. Includes 466 dividend equivalent rights.

<u>Christine Zoino, by Power of</u> <u>Attorney</u>	<u>11/03/2022</u>		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.