Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549	
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STATEMENT	OF CHANGES	IN BENEFICIAL	<b>OWNERSHIP</b>

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Cox Heather				2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]								ck all app	ationship of Reportir k all applicable) Director		rson(s) to Is				
(Last) 804 CAF	(Fi	,	Middle)			3. Date of Earliest Transaction (Month/Day/Year) 08/03/2020							Office below	er (give title /)		Other ( below)	specify		
(Street) PRINCE (City)		tate) (2	2ip)	n-Deriva							d (Month/Da		,	Line)	Form Form Perso	filed by On filed by Mo on	e Rep	ng (Check A porting Pers an One Rep	on
1. Title of Security (Instr. 3)		2. Transa Date (Month/Da	action 2A. Deen Execution ay/Year) if any		A. Deemed xecution Date,		3. 4. Securitie Transaction Disposed ( Code (Instr. 5)		ies Acquired (A Of (D) (Instr. 3,		(A) or	5. Amo Securit Benefic	unt of ies cially Following	Forn (D) c	m: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership			
				/DODO				Code	v	Amount	(A) (D)	_	Price	(Instr. :	ction(s) 3 and 4)			(Instr. 4)	
Common	Common Stock, par value \$.01 per share 08/03/2020 A 86 A \$0(1) 18,813(2) D  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	med on Date, Day/Year)	4. Transa Code ( 8)	(Instr.	of Deriv	r osed ) r. 3, 4	6. Date I Expirati (Month/II Date Exercisa	on Da Day/Y		Secu Unde Deriv	unt of rities rlying ative rity (In	str.	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

## **Explanation of Responses:**

- 1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- 2. Includes 179 dividend equivalent rights which reflects a de minimis adjustment to the number of dividend equivalent rights by 2 as a result of rounding fractional shares.

Christine Zoino, by Power of <u>Attorney</u>

08/05/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.