SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

- 1	-	
	OMB Number:	3235-0287
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to Section 16. F obligations may Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934		Estimated average burden hours per response: 0.5
			or Section 30(h) of the Investment Company Act of 1940		
1. Name and Addre	ess of Reporting P	erson*	2. Issuer Name and Ticker or Trading Symbol <u>NRG ENERGY, INC.</u> [NRG]	(Check all applicab Director X Officer (gi	10% Owner ve title Other (specify
(Last) NRG ENERGY 804 CARNEGI		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/01/2020	SVP	below) & General Counsel
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joir Line)	nt/Group Filing (Check Applicable
(Street) PRINCETON	NJ	08540			l by One Reporting Person I by More than One Reporting
(City)	(State)	(Zip)			
	1	able I - Non-Der	ivative Securities Acquired, Disposed of, or Bene	ficially Owned	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					Securities Beneficially		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount (A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock, par value, \$.01 per share	05/01/2020		A		89	A	(1)	26,572 ⁽²⁾	D	

		Tal	ole II - Derivati (e.g., pt					ired, Disp options, c					d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	Expiration Date (Month/Day/Year) irited r osed) r. 3, 4		Expiration Date Amount of		unt of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's restricted stock units, which become exercisable proportionately with the restricted stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

2. Includes 187 dividend equivalent rights.

<u>/s/ Br</u>	an Curc	<u>i</u>	
** Sian	ature of R	eportina	Person

05/05/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.