Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APP	ROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Cox Heather					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]							(Che	elationship eck all app C Direc	licable)	ng Pe	rson(s) to Is		
(Last) 804 CAF	(Fi	, ,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 08/02/2021									Office below	er (give title /)		Other (below)	specify
(Street) PRINCE (City)		ate) (2	8540 Zip)	n-Deriva		4. If Amendment, Date of Original Filed (Month/Day/Year)  ive Securities Acquired, Disposed of, or Benef							Line	Form	Form filed by More than One Reporting Person			
1. Title of Security (Instr. 3)  2. Trans Date			2. Transa	action 2A. Deeme		eemed 3. Ition Date, Transaction Code (Inst		ction	4. Securities Acquired (AD Disposed Of (D) (Instr. 3			(A) or	5. Amo Securit Benefic	unt of	Forn (D) c	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) (D)	or	Price	Report Transa				(Instr. 4)
Common	Common Stock, par value \$.01 per share 08/02/2021 A 119 A \$0^{(1)} 24,363^{(2)} D  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	med on Date, Day/Year)	4. Transa Code ( 8)	Instr.	str. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date		7. Title and Amount of Securities Underlying Derivative Security (Ins: 3 and 4)  Amou or Numb of Title Share		str.	. Price of lerivative lecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

## **Explanation of Responses:**

- 1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- 2. Includes 555 dividend equivalent rights which reflects a de minimis adjustment to the number of dividend equivalent rights by 2 as a result of rounding fractional shares.

Christine Zoino, by Power of <u>Attorney</u>

08/04/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.