SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				()		. ,						
1. Name and Address of Reporting Person [*] Carter Matthew Jr				2. Issuer Name and Ticker or Trading Symbol <u>NRG ENERGY, INC.</u> [NRG]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			Director					10% 0	Owner			
(Last) 804 CARNEGI	(First) E CENTER	(Middle)		e of Earliest Transa /2023	ction (Month/I	Day/Year)		Officer (give title below)	Other below	(specify)		
				mendment, Date of	Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)							Line)					
PRINCETON NJ 08540							X	Form filed by On	e Reporting Per	son		
		00040						Form filed by Mo Person	re than One Re	porting		
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed Execution Date. 3.				3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect			

	Date (Month/Day/Year)	if any (Month/Day/Year)		e (Instr. 5)		sed Of (D) (Instr. 3, 4 and			(D) or Indirect	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock, par value \$.01 per share	02/01/2023		A		327	A	\$0 ⁽¹⁾	30,388	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Conversion Transaction Derivative Execution Date. Ownership Date of Expiration Date Amount of Derivative derivative of Indirect Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form: Beneficial 8) Securities Acquired Direct (D) Ownership (Instr. 4) Underlying Beneficially Derivative Derivative Owned or Indirect Security (A) or Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) Disposed of (D) (Instr. 3, 4 . Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date of v Code (A) (D) Exercisable Date Title Shares

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock. Includes 2,674 dividend equivalent rights.

<u>Christine Zoino, by Power of</u> Attorney

** Signature of Reporting Person Date

02/03/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.