## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB APPROVAL								
OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Form filed by One Reporting Person Form filed by More than One Reporting

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See

1. Name and Address of Reporting Person

(First)

NJ

(State)

08540

(Zip)

Spencer Gerald Alfred

804 CARNEGIE CENTER

(Street) **PRINCETON** 

(City)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) NRG ENERGY, INC. [ NRG ] Director 10% Owner Officer (give title Other (specify 1 below) below) 3. Date of Earliest Transaction (Month/Day/Year) (Middle) 01/02/2025 SVP & Chief Accounting Officer 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable

1

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(111501.4)
Common Stock, par value \$.01 per share	01/02/2025		A		2,001(1)	A	\$0.0000	7,783	D	
Common Stock, par value \$.01 per share	01/02/2025		F		176(2)	D	\$92.75	7,607	D	
Common Stock, par value \$.01 per share	01/02/2025		F		366(3)	D	\$92.75	7,241	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature Transaction Conversion Expiration Date (Month/Day/Year) Ownership Derivative Execution Date Derivative Amount of Derivative derivative of Indirect or Exercise Price of Derivative if any (Month/Day/Year) Security (Instr. 3) (Month/Day/Year) Security (Instr. 5) Code (Instr. Securities Securities Securities Beneficially Form: Beneficial Direct (D) or Indirect (I) (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 8) Underlying Derivative Security Owned Following (Instr. 4) (Instr. 3 and 4) Security 3. 4 and 5) Reported Amount (Instr. 4) Number of Shares Expiration (A) (D) Code Exercisable Title Commor Relative Stock \$0.0000 01/02/2025 3,151<sup>(4)</sup> 01/02/2028 01/02/2028 par value \$.01 per 3,151 \$0.0000 3,151 D Stock Units

## **Explanation of Responses:**

- 1. Represents Restricted Stock Units ("RSUs") issued to the Reporting Person under the LTIP. Each RSU is equivalent in value to one share of NRG's Common Stock, par value \$.01 per share ("Common Stock"). The Reporting Person will receive from NRG one such share of Common Stock for each RSU that will vest ratably over a three-year period beginning on the first anniversary date of the grant.
- 2. On January 2, 2024, the Reporting Person was issued 1,739 RSUs by NRG under the LTIP. On January 2, 2025, 579 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of Common Stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 176 shares of Common Stock to satisfy the Reporting Person's tax withholding obligation. In connection with the vesting of the RSUs, 13 DERs vested, resulting in the Reporting Person holding 112 DERs in the aggregate.
- 3. On January 2, 2024, the Reporting Person was issued 3,622 RSUs by NRG under the LTIP. On January 2, 2025, 1,206 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of Common Stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 366 shares of Common Stock to satisfy the Reporting Person's tax withholding obligation. In connection with the vesting of the RSUs, 28 DERs vested, resulting in the Reporting Person holding 84 DERs in the aggregate.
- 4. On January 2, 2025, the Reporting Person was issued 3,151 RPSUs by NRG under the LTIP that vest on January 2, 2028, subject to certain performance conditions.

Christine Zoino, by Power of <u>Attorney</u>

01/06/2025

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.