SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre <u>Cox Heather</u>		g Person [*]		uer Name and Ticke G ENERGY, I	0			tionship of Reportin all applicable) Director	ng Person(s) to 10% (
(Last)	(First)	(Middle)		te of Earliest Transa 1/2023	ction (Month/	Day/Year)		Officer (give title below)	Other below	(specify)
804 CARNEGI	E CENTER		4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	/idual or Joint/Grou	p Filing (Check	Applicable
(Street)							X	Form filed by On	e Reporting Per	son
PRINCETON	NJ	08540						Form filed by Mo Person	re than One Re	porting
(City)	(State)	(Zip)	Rule	e 10b5-1(c) ⁻	Transact	ion Indication				
	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.								ended to	
		Table I - Nor	n-Derivative S	ecurities Acqu	uired, Disp	oosed of, or Benef	ficially	Owned		
1. Title of Security	(Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or	5. Amount of	6. Ownership	7. Nature

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ecution Date, ny Transaction Code (Instr.			Acquired (D) (Instr		Securities Beneficially Owned Following	(D) or Indirect	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, par value \$.01 per share	11/01/2023		A		229	Α	\$0 ⁽¹⁾	37,619	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(* 57)	,	/		,	, -				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	rative rities ired r osed) . 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of		mount of ecurities Security (Instr. 5) erivative ecurity (Instr. and 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock. Includes 2,248 dividend equivalent rights.

<u>Christine Zoino, by Power of</u>	11/03/2023
<u>Attorney</u>	11/00/2020
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.