FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b).

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person* <u>Gutierrez Mauricio</u>					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner					
(Last) (First) (Middle) 804 CARNEGIE CENTER						3. Date of Earliest Transaction (Month/Day/Year) 08/01/2022								X	Officer (give title Other below)  President & CEO				specify
(Street) PRINCE (City)		orate) (2		4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indi Line)							
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
				Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8) 4. Securiti Disposed 5)		es Acquired (A Of (D) (Instr. 3		, 4 and Securi Benefi		ies cially Following	Forn (D) c	wnership m: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code			v	Amount	(A) or (D) P		rice	Transa	ction(s) 3 and 4)			(11150.4)	
Common Stock, par value \$.01 per share				08/01/	/2022				Α		1,202	A :		<b>\$0</b> <sup>(1)</sup>	1,097,471(2)			D	
		Tal									osed of, onvertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Dee Execution if any (Month/i		Code (8)	Transaction of Code (Instr. Derivative		vative urities uired r osed ) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Date			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		unt per		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)

## **Explanation of Responses:**

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

2. Includes 5,929 dividend equivalent rights

Christine Zoino, by Power of <u>Attorney</u>

08/03/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.