FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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Check this box if no longer subject						
to Section 16. Form 4 or Form 5						
obligations may continue. See						
Instruction 1(b).						

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Callen David					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]										ationship of Reportin k all applicable) Director Officer (give title		10% O		wner
(Last) 804 CAF	(Fir	,	⁄iiddle)		3. Date of Earliest Transaction (Month/Day/Year) 07/01/2021									X	belov	v) ``	Other (sp below) Accounting Offi		·
(Street) PRINCE (City)		ate) (Z	8540 Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)  tive Securities Acquired, Disposed of, or Ben								Line) X	Form filed by More than One Reporting Person				
		Table	I - No	on-Deriva	tive S	Secui	rities	Acc	quirec	d, Dis					Own	ed			
Date			2. Transacti Date (Month/Day	(Year) Executi		Deemed ution Date, / th/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4					ties cially I Following	Forn (D) c	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	<b>:</b>	Transa	ction(s) 3 and 4)			(Instr. 4)			
Common Stock, par value \$.01 per share 0.				07/01/20	021				S		163	D	\$40	).1 <sup>(1)</sup>	40	0,489		D	
Common	Common Stock, par value \$.01 per share 07/01/2				021	21			S		5,000	D	\$40	0.09	3	35,489		D	
Common	Stock, par	value \$.01 per sl	nare	07/01/20	021				S		8,137	D	\$40	.07 <sup>(2)</sup> 27,352 D					
		Tal	ole II								osed of, convertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, Transaction of				rative rities ired r osed )	Expira	e Exer ation D h/Day/		7. Title Amou Secur Under Deriva Secur 3 and	nt of ities lying tive ity (Inst 4)  Amour	Dei Sec (Ins	Price of rivative curity str. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code		(A)	(D)	Date Exercisable		Expiration Date	Title	Number of Shares						

## **Explanation of Responses:**

- 1. This transaction was executed in multiple trades at prices ranging from \$40.07 to \$40.16. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- 2. This transaction was executed in multiple trades at prices ranging from \$39.75 to \$40.23. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Christine Zoino, by Power of <u>Attorney</u>

07/06/2021

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.