FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Patel Rasesh M.						2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]									ck all app Direc	licable) tor	ng Per	rson(s) to Is	vner
(Last) 804 CAR	ast) (First) (Middle) 04 CARNEGIE CENTER				3. Date of Earliest Transaction (Month/Day/Year) 08/01/2024									belov	Officer (give title below)  President, NR		Other (s below) Consumer	specify	
(Street)					4. If Amendment, Date of Original Filed (Month/Day/Year)							Line)	<u>·</u>						
l ' '	PRINCETON NJ 08540														Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication														
						Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da					Exec ay/Year) if an		Deemed cution Date, ny nth/Day/Year)		3. Transaction Code (Instr. 8) 4. Secu Dispose 5)		Disposed (	ties Acquired (A l Of (D) (Instr. 3,		(A) or 3, 4 and	Benefic Owned	ties cially I Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	(A) (D)	or I	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)			
Common Stock, par value \$.01 per share 08/01/2					2024				A		219	219 A		<b>\$0</b> <sup>(1)</sup>	266,283			D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	med on Date, Day/Year)	4. Transaction Code (Instr. 8)		of		6. Date Exercis Expiration Date (Month/Day/Ye		te	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		D S (I	Price of erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)			Expiration Date	Title	Amo or Num of Shar	ber						

## **Explanation of Responses:**

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock. Includes 1,308 dividend equivalent rights

> Christine Zoino, by Power of Attorney

08/05/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.