## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Moser Christopher				r Name <b>and</b> Ticker <u>ENERGY, I</u>	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) 804 CARNEGII	(First) E CENTER	(Middle)	3. Date 01/02/2	of Earliest Transac 2023	tion (Month/Da	y/Year)	X	Officer (give title below) EVP, Head of Co	below			
(Street) PRINCETON NJ 08540 (City) (State) (Zip)				endment, Date of C	Driginal Filed (I	/lonth/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>					
(Outor)       (Outor)       (Outor)         Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1. Title of Security (Instr. 3)       2. Transaction Date       2A. Deemed Execution Date, Date       3. Transaction       4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)       5. Amount of Securities       6. Ownership Form: Direct       7. Nature of Indirect												

	(Month/Day/Year)		Code (Instr.		Disposed of (D) (inst. 3, 4 and 3)				(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(1150. 4)
Common Stock, par value \$.01 per share	01/02/2023		A		7,260 <sup>(1)</sup>	A	\$0.0000	170,320	D	
Common Stock, par value \$.01 per share	01/02/2023		F		1,037	D	\$0.0000	169,283(2)	D	
Common Stock, par value \$.01 per share	01/02/2023		F		1,043	D	\$0.0000	168,240(3)	D	
Common Stock, par value \$.01 per share	01/02/2023		F		1,163	D	\$0.0000	167,077 <sup>(4)</sup>	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Relative Performance Stock Units	(5)	01/02/2023		J			16,573 <sup>(5)</sup>	01/02/2023	01/02/2023	Common Stock, par value \$.01 per share	16,573	<b>\$</b> 0.0000	0.0000 <sup>(5)</sup>	D	
Relative Performance Stock Units	\$0.0000	01/02/2023		A		11,998 <sup>(6)</sup>		01/02/2026	01/02/2026	Common Stock, par value \$.01 per share	11,998	\$0.0000	11,998	D	

## Explanation of Responses:

1. Represents Restricted Stock Units ("RSUs") issued to the Reporting Person under the LTIP. Each RSU is equivalent in value to one share of NRG's Common Stock, par value \$.01 per share ("Common Stock"). The Reporting Person will receive from NRG one such share of Common Stock for each RSU that will vest ratably over a three-year period beginning on the first anniversary date of the grant.

2. On January 2, 2021, the Reporting Person was issued 9,913 RSUs by NRG under the LTIP. On January 2, 2023, 3,301 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of Common Stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 1,037 shares of Common Stock to satisfy the Reporting Person's tax withholding obligation. In connection with the vesting of the RSUs, 233 DERs vested, resulting in the Reporting Person holding 1,045 DERs in the aggregate.

3. On January 2, 2022, the Reporting Person was issued 11,016 RSUs by NRG under the LTIP. On January 2, 2023, 3,668 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of Common Stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 1,043 shares of Common Stock to satisfy the Reporting Person's tax withholding obligation. In connection with the vesting of the RSUs, 133 DERs vested, resulting in the Reporting Person holding 912 DERs in the aggregate.

4. On January 2, 2020, the Reporting Person was issued 9,594 RSUs by NRG under the LTIP. On January 2, 2023, 3,205 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of Common Stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 1,163 shares of Common Stock to satisfy the Reporting Person's tax withholding obligation. In connection with the vesting of the RSUs, 349 DERs vested, resulting in the Reporting Person holding 563 DERs in the aggregate.

The Reporting Person did not vest in shares associated with RPSUs granted on January 2, 2020 because of performance conditions.
 On January 2, 2023, the Reporting Person was issued 11,998 RPSUs by NRG under the LTIP that vest on January 2, 2026, subject to certain performance conditions

Christine Zoino, by Power of Attorney

\*\* Signature of Reporting Person

son Date

01/04/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.