## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Abraham Spencer					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]											p of Reporting Person(s) to plicable) ctor 109		on(s) to Is		
(Last) (First) (Middle) NRG ENERGY, INC.					3. Date of Earliest Transaction (Month/Day/Year) 08/01/2017										Office below	er (give title v)		Other below)	(specify	
804 CARNEGIE CENTER  (Street)				4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person						
PRINCE	CETON NJ 08540														Form filed by More than One Reporting Person					
(City)	(S	ate) (	Zip)																	
		Tabl	e I - Nor	ı-Deriv	ative	Se	curitie	s Acc	quired,	Disp	osed o	f, or	Bene	eficia	ally (	Owne	ed			
1. Title of Security (Instr. 3)  2. Transa Date (Month/D					ar)   i	Executio if any	A. Deemed kecution Date, any lonth/Day/Year)		3. Transaction Code (Instr. 8)		ities Acquired (A) d Of (D) (Instr. 3,			4 and Second Sec		urities neficially		nership Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount		(A) or (D)	Price	. 1	Transaction(s) (Instr. 3 and 4)				(111341.4)
Common Stock, par value, \$.01 per share 08/01					1/2017	7			A		39		A	(1)		39,123(2)			D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  (Instr. 3)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Y			Date,	4. Transaction Code (Instr. 8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				•	7. Title and Amount of Securities Underlying Derivative Security (Instrand 4)  Amou or Numb of Title Share		ount			9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ov Fo Di or (I)	wnership orm: rect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## **Explanation of Responses:**

- 1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock
- 2. Reflects a de minimus adjustment to the number of dividend equivalent rights (DERs) by 3 shares as a result of rounding fractional shares, resulting in the Reporting Person holding 1222 DERs in the aggregate.

/s/ Brian Curci, by Power of **Attorney** 

08/03/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.