SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-OMB Number: 0104

0.5

Estimated average burden

hours per response:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Picarello Emily</u>	2. Date of Requiring (Month/Da	Statement y/Year)	3. Issuer Name and Ticker or Trading Symbol <u>NRG ENERGY, INC.</u> [NRG]						
(Last) (First) (Middle) 804 CARNEGIE CENTER (Street) PRINCETON NJ 08540 (City) (State) (Zip)	02/24/2022		4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below) Vice Pres & Corp	10% Owner Other (specify below)		File 6. Ir (Ch	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 		
	ble I - Nor	n-Derivati	I	vially Ov	wned				
1. Title of Security (Instr. 4) Common Stock, par value \$.01 per sha	2. Amount of Securities Beneficially Owned (Instr. 4) 6,202 ⁽¹⁾ e Securities Beneficia nts, options, converti	3. Owne Form: D (D) or Ir (I) (Instr Ily Own	ership Direct direct 5) ed	Own	Nature of Indirect Beneficial wnership (Instr. 5)				
	2. Date Exerc Expiration Da (Month/Day/)	ate	3. Title and Amount of Se Underlying Derivative Sec (Instr. 4)		4. Conver or Exer	cise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price o Derivat Securit	ive	Direct (D) or Indirect (I) (Instr. 5)	5)	
Phantom Stock	01/02/2023	01/02/2023	Common Stock, par value \$.01 per share	1,347	(2)		D		
Phantom Stock	01/02/2024	01/02/2024	Common Stock, par value \$.01 per share	696	(2)		D		

Explanation of Responses:

1. Includes 5,327 Restricted Stock Units ("RSUs") issued by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long Term Incentive Plan. Each RSU is equivalent in value to one share of NRG's Common Stock, par value \$.01. Upon vesting of the RSU's, the Reporting Person will receive for NRG the following: 1,773 shares issued on January 2, 2023, 1,774 shares issued on January 2, 2024, and 1,780 shares issued on January 2, 2025. The Reporting Person will also accrue Dividend Equivalent Rights ("DERs") on the RSUs to which they relate that can only be settled in NRG common stock. Each DER is the economic equivalent to one share of NRG common stock. Includes 48 DERs.

2. Each share of phantom stock is the economic equivalent to one share of NRG Energy, Inc. common stock and can only be settled in cash.

Remarks:

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03/07/2022

** Signature of Reporting Person

of Attorney

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.