FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 | |
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| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|------------------|-------------------|---------------|-----------|

| OMB APPROVAL | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burden | | | | | | | | | | |
| hours per response | : 0.5 | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Chung Bruce | | | | 2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [NRG] | | | | | | | ck all app Direc | tor | | 10% Ov | vner | | | | |
|--|---|--|-----------------|--|---|--|--------------------------------------|----------------------------------|-----------------------------|-----------------------------|---|---------------------------|---|---|--|----------------------------------|----------------------------|--|--|
| (Last) 804 CAR | (Fii | , | Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 05/01/2023 | | | | | | X | belov | er (give title v) Strategy a | | Other (s below) RG Service | · | | | |
| (Street) | | | | | 4. If A | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | Line) | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | | |
| PRINCE | TON NJ | 0 | 8540 | | | | | | | | | | | Form filed by More than One Reporting Person | | | | | |
| (City) | (St | ate) (Z | Zip) | | Rul | e 10 |)b5- | 1(c) | Trans | sact | ion Indi | catio | on | | | | | | |
| | | | | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | |
| | | Table | I - Nor | n-Deriva | tive S | Secu | rities | Acq | uired, | Dis | posed of | , or E | Bene | ficial | y Own | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | Execution Date, | | Transaction Disposed Of (Code (Instr. 5) | | es Acquired (A) Of (D) (Instr. 3, | | | Securit Benefic Owned | ities Folicially (D) | | : Direct r Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership | | | | | |
| | | | | | Code V Amount (A) or (D) Price Reported Transaction(s) (Instr. 3 and 4) | | | | | ction(s) | | | (Instr. 4) | | | | | | |
| Common | Stock, par | value \$.01 per sl | nare | 05/01/ | /2023 | | A | | 299 | A | 1 | \$0 ⁽¹⁾ | 4: | 45,286 | | D | | | |
| | | Tal | | | | | | | | | osed of, o | | | | Owne | d | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | if any | med on Date, Day/Year) | Code (Instr. | | of | ired r osed) : 3, 4 | Expiration D (Month/Day/ | | Date Exercisable and piration Date onth/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4) | | Price of erivative ecurity nstr. 5) | ative derivative rity Securities | Ow For Dir or (I) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisa | able | Expiration Date | Title | Amor or Numi of Share | ber | | | | | |

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock. Includes 908 dividend equivalent rights.

Christine Zoino, by Power of

05/03/2023

<u>Attorney</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.