SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed nursuant to Section 16(a) of the Securities E	

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ess of Reporting	Person [*]		uer Name and Tick <u>GENERGY,</u>	0		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Curci Brian				<u>o El (El to I,</u>	<u> </u>	2]		Director Officer (give title		Owner (specify		
(Last) 804 CARNEGI	(First) E CENTER	(Middle)		e of Earliest Transa 1/2022	action (Month/I	Day/Year)		below)	below) eneral Counsel			
(Street)			4. If A	mendment, Date o	f Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Grou	ıp Filing (Check	Applicable		
PRINCETON	NJ	08540					X	Form filed by Or	e Reporting Pe	rson		
(City)	(State)	(Zip)						Form filed by Mo Person	ore than One Re	porting		
		Table I - Nor	-Derivative S	ecurities Acq	uired, Disp	osed of, or Bene	ficially	Owned				
	· (Im atm. 2)	1	2 Transaction	24 Deemed	2	A Securities Acquired (A) or	E Amount of	6 Ournarahin	7 Noturo		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)						Securities Beneficially	Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock, par value \$.01 per share	08/01/2022	Α		159	A	\$0 ⁽¹⁾	53,538 ⁽²⁾	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	e Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

2. Includes 721 dividend equivalent rights.

Christine Zoino, by Power of 08/03/2022

<u>Attorney</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.