FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D	.C. 20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1/h)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Donohue Elisabeth B</u>					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]										eck all app	tionship of Reportir all applicable) Director		on(s) to Is		
(Last)	(Fir	,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/05/2020											Officer (give title below)		Other ( below)	specify	
40 LA RIVIERE DRIVE, SUITE 300						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable					
(Street)	LO NY	? 1	4202											Line	Y Form	filed by On filed by Mo		•		
(City)	(Sta	ate) (Ž	Zip)																	
		Table	I - Nor	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or I	Bene	ficia	ly Own	ed				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da				Execution ny/Year) if any		cution Date,				Disposed (	ies Acquired (A Of (D) (Instr. 3			Securit Benefic Owned	S. Amount of Securities Beneficially Dwned Following		nership Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership		
			Code			v	Amount	(A)	or	Price	Transa	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)					
Common Stock, par value \$.01 per share 10/05/2				2020				A		5,341(1)	4	A	<b>\$0</b> <sup>(2)</sup>	5,341		D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution Date, irity or Exercise (Month/Day/Year) if any		4. Transaction Code (Instr. 8)		5. Nu of Deriv Secu Acqu (A) or Dispo of (D) (Instr and 5	rities ired r osed )	Expiration Da		te	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		nstr.	B. Price of Derivative Security Instr. 5)	ative derivative		0. Ownership form: Direct (D) or Indirect () (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## **Explanation of Responses:**

- 1. Represents 5,341 Deferred Stock Units issued to the Reporting Person by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long-Term Incentive Plan.
- 2. Each Deferred Stock Unit is equivalent in value to one share of NRG Energy, Inc.'s Common Stock, par value \$.01 per share. The Reporting Person will receive from NRG Energy, Inc. one such share of Common Stock for each Deferred Stock Unit they own upon termination of their service on NRG Energy, Inc.'s Board of Directors.

Christine Zoino, by Power of <u>Attorney</u>

10/07/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.