Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549
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STATEMENT	OF (	CHANG	SES IN	BEN	EFICIA	LOW	/NERS	SHIP

	OMB APP	ROVAL								
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  COBEN LAWRENCE S					2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]									ck all app	licable)	ng Pe	erson(s) to Is		
(Last) (First) (Middle) 804 CARNEGIE CENTER					3. Date of Earliest Transaction (Month/Day/Year) 08/02/2021									Office below	er (give title v)		Other ( below)	specify	
(Street) PRINCE (City)		ate) (Z	8540 Zip)	n-Derivs		4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person  tive Securities Acquired, Disposed of, or Beneficially Owned										on			
			1 - 1101			1			1	ופוט		-			<del>-</del>				
Date			2. Transa Date (Month/D	Execution Dat		Date,	3. Transaction Code (Instr. ) 8)					Benefic	ties cially Following	Forr (D)	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
								Code	v	Amount	(A) (D)	or	Price	Transa	action(s) . 3 and 4)			(1130.4)	
Common Stock, par value \$.01 per share 08				08/02/	2021				A		607		4	\$0 <sup>(1)</sup>	125	5,535 <sup>(2)</sup>		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	or Exercise Price of Derivative Security (Month/Day/Year) if any (Month/Day/Year) 8)		Transa Code (	Instr.	5. Nu of Deriv Secu Acqu (A) o Dispo of (D (Insti and §	6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)  Amount or Number of Title Shares		S (I	. Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficia Ownership (Instr. 4)				

## **Explanation of Responses:**

- 1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- 2. Includes 5,989 dividend equivalent rights which reflects a de minimis adjustment to the number of dividend equivalent rights by 1 as a result of rounding fractional shares.

Christine Zoino, by Power of

08/04/2021

<u>Attorney</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.