FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | OMB APPROVAL | | | | | | | | | |
|-----|---------------------|-----------|--|--|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | | | |
| - 1 | Estimated average I | hurdon | | | | | | | | |

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hours per response:

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b) |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Schaumburg Anne C | | | | | | 2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [NRG] | | | | | | | | | | | nip of Reporting Person(s) to oplicable) ector 109 | | , | to Issuer % Owner | |
|---|---|--------|--|---|---------------------------|---|--------------|--|---------|---|--|---|--------|---|--|--|--|---|--|--------------------------------------|--|
| (Last) (First) (Middle) NRG ENERGY, INC. | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 08/01/2019 | | | | | | | | | | Office below | ficer (give title low) | | Other (specify below) | | |
| 804 CARNEGIE CENTER (Street) | | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | | |
| PRINCETON NJ 08540 (City) (State) (Zip) | | | | | - | | | | | | | | | | Form filed by More than One Reporting Person | | | | | orting | |
| | | | Table | e I - Non | -Deriv | ative | Se | curitie | s Acc | quired, | Dis | posed o | of, or | Bene | efici | ally | Owne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Date) | | | | | | | Execution Da | | n Date, | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) | | | | 4 and Secul Bene Owne Repo Trans | | ecurities eneficially wned Following | | ership Direct ndirect r. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | | | | Code | v | Amount (| | (A) or (D) | Price | | | action(s) 3 and 4) | | | (111511.4) |
| Common Stock, par value, \$.01 per share 08/01 | | | | | | 1/2019 | 9 | | | | | 30 | | A | (1 | l) | 72,899(2)(3) | | I |) | |
| | | | Ta | | | | | | | | | sed of, onvertib | | | | | vned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | on E | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Da | Date, Transac Code (In | | | of Derive Secue (A) or Disposof (D) (Instr | of E | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | | vative urity r. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4 | m: ect (D) ndirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | c | Code | v | (A) | (D) | Date Exercisal | | Expiration Date | Title | or Nun of | ount nber res | r | | | | | |

Explanation of Responses:

- 1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- 2. Includes 1,519 dividend equivalent rights.
- 3. Reflects a de minimus adjustment to the number of dividend equivalent rights (DERs) by 2 DERs as a result of rounding fractional shares.

/s/ Christine Zoino, by Power

08/05/2019

of Attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.