SEC Form 4

Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGE	S IN BENEFICIAL OWNERS	SHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		Table I - Non-	-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benef	ficially	Owned				
						action was made pursuant t ns of Rule 10b5-1(c). See I			en plan that is int	ended to		
(City)	(State)	(Zip)	Rule	e 10b5-1(c)	Transact	ion Indication	-					
(Street) PRINCETON	NJ	08540					1	Form filed by On Form filed by Mo Person				
804 CARNEG	IE CENTER		4. If A	mendment, Date of	f Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Grou	p Filing (Check	Applicable		
(Last)	(First)	(Middle)		e of Earliest Transa 1/2024	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify)		
1. Name and Addr Pourbaix Al	1 0	Person [*]		uer Name and Tick <u>GENERGY,</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Beneficially	(D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)	
Common Stock, par value \$.01 per share	06/01/2024		A		3,692(1)	Α	\$0 ⁽²⁾	7,846 ⁽³⁾	D		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of I		rivative curities quired or sposed (D) str. 3, 4			e and int of rities rlying ative ative (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents 3,692 Deferred Stock Units issued to the Reporting Person under NRG Energy, Inc.'s Amended and Long-Term Incentive Plan.

2. Each Deferred Stock Unit is equivalent in value to one share of NRG Energy, Inc.'s Common Stock, par value \$.01 per share. The Reporting Person will receive from NRG Energy, Inc. one such share of Common Stock for each Deferred Stock Unit they own upon termination of their service on NRG Energy, Inc.'s Board of Directors.

3. Includes 22 dividend equivalent rights.

<u>Christine Zoino, by Power of</u> <u>Attorney</u> <u>06/04/2024</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.