SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

L							
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			01 000								
1. Name and Address of Reporting Person [*] Abraham Spencer				uer Name and Ticke <u>GENERGY, 1</u>	0	·	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
	<u>encer</u>							Director	10% 0	Dwner	
(Last) 804 CARNEGI	(First)	(Middle)		e of Earliest Transa L/2022	ction (Month/I	Day/Year)	1	Officer (give title below)	Other below	(specify)	
004 CARNEGI	E CENTER						<u> </u>				
(Street)			4. If A	 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check A Line) 						Applicable	
		08540					X	Form filed by One Reporting Person			
								Form filed by More than One Reporting Person			
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1 Title of Security (Instr. 2) 2 Transacti				24 Deemed	3	A Securities Acquired (A) or	5 Amount of	6 Ownershin	7 Nature	

1. Title of Security (instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock, par value \$.01 per share	02/01/2022		A		514	A	\$0 ⁽¹⁾	64,120 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Conversion Transaction Execution Date. Ownership Derivative Date Expiration Date Amount of Derivative derivative of Indirect of Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form: Beneficial 8) Securities Acquired Beneficially Direct (D) Ownership (Instr. 4) Underlying Derivative Derivative Owned or Indirect Security (A) or Security (Instr. 3 and 4) Following (I) (Instr. 4) Disposed Reported of (D) (Instr. 3, 4 . Transaction(s) (Instr. 4) and 5) Amount

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

Date

Exercisable

2. Includes 5,416 dividend equivalent rights.

Christine Zoino, by Power of 02/03/2022

<u>Attorney</u>

Expiration

Date

** Signature of Reporting Person Date

or Numbei

of

Shares

Title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).