FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Vashington, | D.C. 20549 | |
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| ct to | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP |
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| OMB APPROVAL | | | | | | | | | |
|-------------------------|-----------|--|--|--|--|--|--|--|--|
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| Estimated average burde | n | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Fornaro Alberto | | | | | | | Name and ENERG | | | | (Che | ck all applica | tionship of Reporting all applicable) Director Officer (give title | | Person(s) to Issuer 10% Owner Other (specify | | | | |
|---|--|--|---|--------------|---|---|-----------------------|---|--|---|--------------------|--|---|---|---|---------------------------------------|--|--|--|
| (Last) 804 CARN | (Firs | , | Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 01/02/2023 | | | | | | | | below) | below) | | below) | | |
| (Street) PRINCET (City) | ON NJ | | 08540 Zip) | | - 4. II | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | dividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | |
| Date | | | | Day/Year) if | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a | | (A) or 3, 4 and 5) | 5. Amoun Securities Beneficia Owned Fo | lly ollowing | Form | : Direct I Indirect E str. 4) (| 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | Reported Transacti (Instr. 3 a | on(s) | | | (Instr. 4) | |
| Common Stock, par value \$.01 per share 01/02/ | | | | | 2/2023 | 2023 | | A | | 26,779(1) | A | \$0.000 | 51,763 | | D | | | | |
| Common Stock, par value \$.01 per share 01/02. | | | | | 2/2023 | 3 | | | F | | 1,420 | D | \$0.000 | 50,3 | 50,343(2) | | D | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Da | Date, | 4. Transaction Code (Instr. 8) | | | | 6. Date Exerc Expiration D (Month/Day/ | | ate | 7. Title an of Securit Underlyin Derivative (Instr. 3 an | ies g Security | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Followin Reported Transact | re es ally g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exerc | isable | Expiration Date | Title | Amount or Number of Shares | | (Instr. 4) | (9) | | | |
| Relative Performance Stock Units | \$0.0000 | 01/02/2023 | | | A | | 44,258 ⁽³⁾ | | 01/02 | /2026 | 01/02/2026 | Common Stock, par value \$.01 per share | 44,258 | \$0.0000 | 44,2: | 58 | D | | |

Explanation of Responses:

- 1. Represents Restricted Stock Units ("RSUs") issued to the Reporting Person under the LTIP. Each RSU is equivalent in value to one share of NRG's Common Stock, par value \$.01 per share ("Common Stock"). The Reporting Person will receive from NRG one such share of Common Stock for each RSU that will vest ratably over a three-year period beginning on the first anniversary date of the grant.
- 2. On January 2, 2022, the Reporting Person was issued 13,884 RSUs by NRG under the LTIP. On January 2, 2023, 4,623 shares vested. The Reporting Person elected to satisfy their tax obligation upon the exchange of Common Stock for RSUs having a value on the date of the exchange equal to the withholding obligation. This form reflects the surrender of 1,420 shares of Common Stock to satisfy the Reporting Person's tax withholding obligation. In connection with the vesting of the RSUs, 167 DERs vested, resulting in the Reporting Person holding 697 DERs in the aggregate.
- 3. On January 2, 2023, the Reporting Person was issued 44,258 Relative Performance Stock Units ("RPSUs") by NRG under the LTIP that vest on January 2, 2026, subject to certain performance conditions.

Christine Zoino, by Power of 01/04/2023 **Attorney**

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.