FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

W	as	hing	ton,	D.C.	2054	19	

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Cox Heather</u>						2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]											olicable)	•	Person(s) to Issuer  10% Owner	
(Last) (First) (Middle) NRG ENERGY, INC.						3. Date of Earliest Transaction (Month/Day/Year) 02/03/2020										Office	er (give title v)		Other (specify below)	
804 CARNEGIE CENTER  (Street) PRINCETON NJ 08540					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person						
(City)	(S	tate) (	Zip)																	
		Tabl	e I - Nor	-Deriv	ative	Se	curitie	s Acc	uired,	Disp	posed o	of, o	r Ben	efici	ally	Owne	ed			
1. Title of Security (Instr. 3)  2. Transa Date (Month/D						Execution Date,		Code (	Transaction Disposed Of (D Code (Instr. 5)		es Acquired (A) o Of (D) (Instr. 3, 4 a		and Secur Benef		cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount		(A) or (D)	Price	•	Transaction(s) (Instr. 3 and 4)				(111501.4)
Common Stock, par value, \$.01 per share 02/03.					3/2020			A		39		A	(1	1)	14,054(2)		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deeme Execution if any (Month/Da	Date, Transact Code (In 8)		(Instr.	on of		6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date			7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amoun or Numbe of Title Shares		ount nber		vative urity tr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction( (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## **Explanation of Responses:**

- 1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- 2. Includes 46 dividend equivalent rights.

/s/ Christine Zoino, by Power of Attorney

02/05/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.