FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | OMB APPRO | VAL | | | | | | | |
|---|--------------------------|-----------|--|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | | |
| l | Estimated average burden | | | | | | | | |
| l | hours per response: | 0.5 | | | | | | | |

| | Check this box if no longer subject to |
|---|--|
| ١ | Section 16. Form 4 or Form 5 |
| | obligations may continue. See |
| | Instruction 1(h) |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* | | | | | | | | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|---|--|--------|--|-------|-------------|---|---|-----|-------------------|-----------------------------|---------------------|--|-----------------|---------------------|--|---|------------------------------|--|-------------------------|--|--|
| Callen David | | | | | NE | NRG ENERGY, INC. [NRG] | | | | | | | | | леск | | ii applicable) Director | | 10% Owner | | |
| | | | | | | | | | | | | | | | X | Officer (give title below) | | | Other (specify below) | | |
| (Last) (First) (Middle) | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/01/2019 | | | | | | | | | SVP,Chief Accounting Offficer | | | | | | |
| NRG ENERGY, INC. 804 CARNEGIE CENTER | | | | | | | | | | | | | | | | | | | | | |
| 004 CARNEGIE CENTER | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) | | | | | | | | | | | | | | | Line) X Form filed by One Reporting Person | | | | | | |
| PRINCETON NJ 08540 | | | | | | | | | | | | | | | Form filed by More than One Reporting | | | | | | |
| (City) | | State) | (Zip) | | 1 | | | | | | | | | | | Pers | on | | | | |
| (City) | | • | | | | | | | | | | | | | | | | | | | |
| | | Tal | le I - Nor | 1 | | _ | | | quired, | Dis | _ | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | h/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Code (| Transaction Code (Instr. | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5) | | | 4 and So | | Securities I Beneficially | | hip ect rect) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | | Code | v | Amount | | (A) or (D) | Price | . 1 | Transaction(s) (Instr. 3 and 4) | | | | (11150.4) | |
| Common Stock, par value, \$.01 per share 02/01/ | | | | | | 1/2019 | | | | | 35 | | A | | (1) 54 | | ,330 ⁽²⁾ | D | | | |
| | | ٦ | able II - I | | | | | | | | sed of, onvertib | | | | y Ov | ned | | , | <u> </u> | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversio or Exercise Price of Derivative Security | | 3A. Deem Execution if any (Month/Da | Date, | | ransaction Code (Instr. | | of | | xercis n Date ay/Ye | | Amount of | | str. 3 | 8. Pri Deriv Secu (Instr | ative derivative ity Securities | | Ownershi Form: Direct (D) or Indirec (I) (Instr. 4 | (D) irect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | • | Code | v | (A) | (D) | Date Exercisal | | Expiration Date | Title | or Nun of | ount nber res | | | | | | | |

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

2. Includes 938 Dividend Equivalent Rights.

/s/ Christine Zoino, by Power of Attorney

02/05/2019

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.