SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			01 000		vesiment con								
1. Name and Address of Reporting Person [*] Abraham Spencer				ier Name and Ticke <u>GENERGY, 1</u>	0	·	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
	<u>encer</u>							Director	10% (Owner			
(Last) 804 CARNEGI	(First) E CENTER	(Middle)		e of Earliest Transa 2/2020	ction (Month/I	Day/Year)		Officer (give title below)	Other below	(specify /)			
,			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv	vidual or Joint/Grou	p Filing (Check	Applicable			
(Street)							Line)						
PRINCETON	NJ	08540					X	Form filed by On	e Reporting Pe	rson			
		00540						Form filed by Mo Person	ore than One Re	porting			
(City)	(State)	(Zip)						1 010011					
		Table I - Non	-Derivative S	ecurities Acqu	uired, Disp	osed of, or Benel	ficially	Owned					
1. Title of Security	/ (Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (/	A) or	5. Amount of	6. Ownership	7. Nature			

1. The of Security (insu: 5)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code (8)					Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock, par value \$.01 per share	11/02/2020		A		459	A	\$0 ⁽¹⁾	56,385 ⁽²⁾	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number 6. Date Exercisable and Expiration Date (Month/Day/Year) Securities Acquired (A) or Disposed of (D) ((Instr. 3, 4 and 5) Date Exercisable Expiration Date (A) (D) Date Exercisable Date		Deriv	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v			Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.

2. Includes 3,165 dividend equivalent rights.

Christine Zoino, by Power of 11/04/2020

<u>Attorney</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.