FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|--------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average | burden | | | | | | | | |
| hours per response | : 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* A bushoon Cooperate | | | | | | 2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [NRG] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|--|--|----------|----------|---|---------|---|---------------------------|-------------------------------|------------------|------------|---|-----------|---|--|--|---|---|---------------------------------------|---------|
| Abraham Spencer | | | | | | | | , | | | | | |) | Direc | tor | | 10% O | wner |
| (Last) (First) (Middle) NRG ENERGY, INC. | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/01/2020 | | | | | | | | | Office below | er (give title w) | | Other (below) | specify |
| 804 CARNEGIE CENTER | | | | | 4. If A | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| (Street) | | | | | | | | | | | | | | 3 | | filed by On | e Repo | orting Pers | on |
| PRINCE | TON N | J 0 | 8540 | | | | | | | | | | | | Form Perso | filed by Mo | re thar | n One Rep | orting |
| (City) | (5 | tate) (Z | Zip) | | | | | | | | | | | | | | | | |
| | | Table | I - Noi | n-Deriva | tive S | Secu | rities | Acq | uired, | Dis | posed of | , or E | Bene | ficial | ly Own | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day) | | | | Execution Date, | | 3. 4. Securitie Disposed C Code (Instr. 8) | | | | | 4 and Securit | | ies cially Following | Form | Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | | Code | v | Amount | (A) (D) | or F | rice | Transa | ction(s) 3 and 4) | | | (| |
| Common Stock, par value, \$.01 per share 06/01/2 | | | | 2020 | | A | | 4,905(1) | A | A | (2) | 55,489(3) | | | D | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | ve Conversion Date Execution Date, or Exercise (Month/Day/Year) if any | | on Date, | 4. Transaction Code (Instr. 8) | | of Deriv Secu Acqu (A) o Dispo | r osed) r. 3, 4 | Expiration Da (Month/Day/Y | | te ear) | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4) | | str. | . Price of erivative ecurity nstr. 5) | 9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4) | y [| Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | | Code | Code V (A) (D) | | (D) | Date Exercisa | | | Title | of Share | es | | | | | |

Explanation of Responses:

- 1. Represents 4,905 Deferred Stock Units issued to the Reporting Person by NRG Energy, Inc. under NRG Energy, Inc.'s Amended and Restated Long-Term Incentive Plan.
- 2. Each Deferred Stock Unit is equivalent in value to one share of NRG Energy, Inc.'s Common Stock, par value \$.01 per share. The Reporting Person will receive from NRG Energy, Inc. one such share of Common Stock for each Deferred Stock Unit he owns upon termination of his service on NRG Energy, Inc.'s Board of Directors.
- 3. Includes 2,266 dividend equivalent rights.

/s/ Christine Zoino, by Power of Attorney

06/03/2020

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.