Instruction 1(b).

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 | |
|-------------|------|-------|--|
| | | | |

| Check this box if no longer subject | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP |
|-------------------------------------|--|
| to Section 16. Form 4 or Form 5 | |
| obligations may continue. See | |
| | |

| OMB APPROVAL | | | | | | | | | | |
|-------------------------|--------|--|--|--|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | | | | |
| Estimated average | burden | | | | | | | | | |
| hours per response: 0.5 | | | | | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Callen David | | | | | 2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [NRG] | | | | | | | | | all app Direc | tor | ng Per | 10% O | wner | | |
|---|--|--|-----------------|---|--|--|--|--|---------------|---|-----------------------------|---------------|--------------------------------------|---|--|--|--|------|--|--|
| (Last) (First) (Middle) 804 CARNEGIE CENTER | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/30/2020 | | | | | | | | X | below | cer (give title ow) TP & Chief Ac | | Other (below) unting Of | · | | | |
| (Street) PRINCE (City) | | | 8540 Zip) | | 4. If A | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Indivine) | ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transactic Date (Month/Day/ | | | Execution Date, | | 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 | | | | d 5) | 5. Amo Securit Benefic Owned Report | ties cially Following | Form (D) o | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | | | |
| | | | | | | | Code | v | Amount | (A) or (D) | Price | | Transa | ction(s) 3 and 4) | | | (111501.4) | | | |
| Common Stock, par value \$.01 per share 12/30/20 | | |)20 | | | S | | 6,000 | D | \$35.8 | 89 ⁽¹⁾ | | 29,853 | | D | | | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | ivative Conversion Date Execution Date, urity or Exercise (Month/Day/Year) if any | | | | 5. Numb of Derivatir Securitii Acquire (A) or Dispose of (D) (Instr. 3, and 5) | | rative rities nired r osed) r. 3, 4 | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4) | | | | ative derivative rity Securities | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | Code | v | (A) | (D) | Date Exerc | isable | Expiration Date | Title | or Number of Shares | | | | | | | |

Explanation of Responses:

1. This transaction was executed in multiple trades at prices ranging from \$35.56 to \$36.20. The price above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares at which the transaction was effected. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

Christine Zoino, by Power of **Attorney**

01/04/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.