FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549
-------------	------	-------

Check this box if no longer subject
o Section 16. Form 4 or Form 5
bligations may continue. See

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Gaudette Robert J</u>						2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]									ck all app Direc	tionship of Reporting all applicable)  Director  Officer (give title)		10% O	wner	
(Last) 804 CAF	(First) (Middle) RNEGIE CENTER					3. Date of Earliest Transaction (Month/Day/Year) 08/03/2020									belov	Officer (give title below) Sr VP, Busir		below)	specify	
(Street) PRINCE (City)			8540 Zip)		4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Inc Line)	Form	al or Joint/Group Filing (Check Applicable orm filed by One Reporting Person orm filed by More than One Reporting erson				
		Table	I - Noi	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	3ene	ficial	y Own	ed				
Date			2. Transac Date (Month/Da	Exec Day/Year) if an		A. Deemed xecution Date, any Month/Day/Year)		3. 4. Securiti Disposed 5)					Benefi	ties cially Following	Forr (D)	m: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	(A) or (D)		Price	Transa	ction(s) 3 and 4)				
Common Stock, par value \$.01 per share				08/03/	/2020				A		103	A \$0 <sup>(1)</sup>		<b>\$0</b> <sup>(1)</sup>	49,584 <sup>(2)</sup>			D		
		Tal									osed of, onvertib				Owne	d				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	cution Date, y y nth/Day/Year)		Saction of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date			7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)  Amoun or Numbo of Title Shares		str.  D Si (lil	Price of erivative ecurity 1str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

- 1. Represents dividend equivalent rights accrued on the Reporting Person's deferred stock units, which become exercisable proportionately with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- 2. Includes 328 dividend equivalent rights.

Christine Zoino, by Power of <u>Attorney</u>

08/05/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.